

## Advisory Notice

Clearing House

TO: Clearing Member Firms

FROM: CME Clearing

DATE: October 26, 2007

ADVISORY #: 07-261

SUBJECT: **FIA Disaster Recovery Testing on 10/27/07**

---

On Saturday October 27<sup>th</sup> CME Group will fully staff the GCC at our remote data center. The GCC will be the main point of contact for all test participants. Participating firms should call the GCC if they experience any connectivity issues with front and back end systems. Upon completion of the script firms are asked to call the GCC at 312-456-2391. GCC staff will record your results and comments so that we will be able to create a report to be used in the recap session following the test. As stated in the FIA meetings no firms names will be used in the report.

The test will begin at 9:00am EDT and end at 3:00pm EDT. Participating firms are encouraged to contact the support team at [BCP@cmegroup.com](mailto:BCP@cmegroup.com) with any questions you may have prior to the test, during the test or following the test.

CME Group represents the newly merged CBOT and CME exchanges. For this year's test, CME Group will keep testing for both exchanges the same as it was for last year's test. Detailed information pertaining to the front end eCBOT and Globex trading systems and the back-end Clearing system can be found in the associated sections of this document.

### **CME Standard Disaster Recovery Failover:**

CME Group will failover supporting systems per its standard disaster recovery failover procedures. We expect this to be completed by 8:30am EDT on the morning of the test. CME Group will announce when the GLOBEX system will be available in a pre-opening state on the FIA Conference call. The call will be open throughout the test

### **Test Trade Data Entry – Globex Pre-Opening:**

Globex will be available in a pre-opening state for connectivity testing at approximately 7:30a.m.. As stated above, CME Group will make an announcement when the system is in Pre-Opening on the FIA Conference call.

**Trade Entry-Entering Test Trades for ecbot:**

The ecbot trading system will be available at its back-up site. Members will be allowed to logon to the system to check connectivity. Please note there will be no trade entry available on ecbot.

Members should call Market Operations at 312.347-4600 to report confirmation of connectivity from the primary or disaster recovery location.

CBOT will inject trades in trade handling system via backup site. Trades will be injected using data from October 26th for CBOT. Injected trades will be processed through clearing and route clearing confirms to the firms.

**Order Entry – Entering Test Trades into Globex:**

Trade date can be either October 26<sup>th</sup> or October 27<sup>th</sup>.

Enter bids as customer and offers as house at the settlement price in the market you choose. Enter about 10 trades.

Firms may use any market they want. We do recommend that Firms send orders in on 'Floor Only Contracts, like the SPZ8 that will be listed on GLOBEX for the test.

Firms may receive crossed trades and/or trades with other counterparties.

Trade reporting to firms' back office systems should be verified and then deleted.

**Component I – Clearing Connectivity:**

To execute the test script, please make the following three connectivity implementations:

- **MQ Messaging:** Route your production channels to the RDC Mainframe destination address at IP addresses provided to you by the CME Clearing Support (CCS) team. If you have not received that information, please contact the CCS team at (312) 207-2525
- **FTP:** Make the required network changes to enable access to the CME RDC FTP. Again, that information is available at (312) 207-2525.
- **Front End Clearing (FEC)/Portal:** On your regular site: (<http://connect.cme.com>) select the **DR Application**, notice the Yellow background color. Use the same User ID and Password that you use in the production environment.

**Component II – EX-Pit (Block Trade) Entry:**

- Log in to the **Front End Clearing** DR application.
- Access the Block Trade Entry screen and enter the following three trades and hit the **Save** button:
  - 5000 EDH 9740.0
- Conduct a **Normal Search**. Using **Search Type Block** verify that the trades you entered are in the system.

**Component III – PCS & Large Trader Reports:**

- Please FTP the PCS (**PCS.CMF\_999.xml**) and Large Trader (PFTP.F999RPS.DATA) files from either Thursday, October 25, 2007 or Friday, October 26, 2007.

**Component IV –Trade Registry Data File & SPAN File:**

- After CME receives your PCS and Large Trader files we will send you Thursday's Trade Registry Data File and the SPAN file.
- After verifying receipt of these files please delete them, **change your IP address back to your Production address**, and then call the GCC (312-456-2391) to recap the test results.

**Document Direct not available in Production:**

Please note that CME Document Direct Production application will not be available on CME Connect portal site for the duration of the test. Firms should have their printed copy of their reports which are remotely provided by Clearing House.

For questions or concerns, please contact CME Group Clearing Services at (312) 207-2525 or via email to [ccs@cmegroup.com](mailto:ccs@cmegroup.com)

Thank you